# POPPER: Automated Hypothesis Validation with Agentic Sequential Falsifications

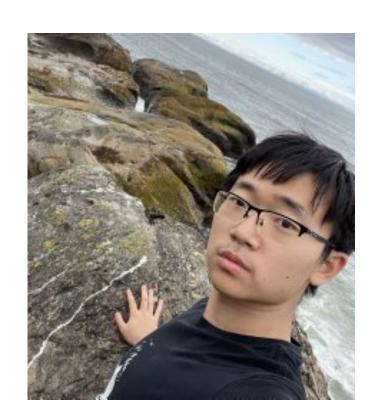
Ying Jin

Data Science Initiative & Harvard Medical School Harvard University

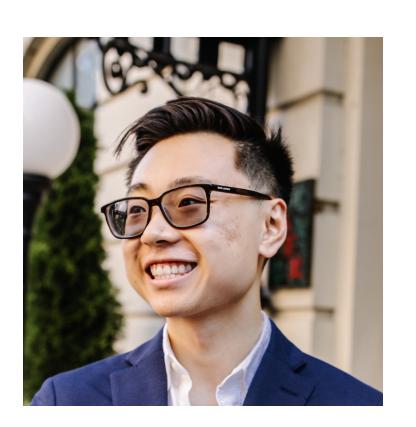
### Collaborators



Kexin Huang Stanford CS



Ryan Li
Stanford CS



Michael Li Stanford CS



Emmanuel Candès

Stanford Stats & Math



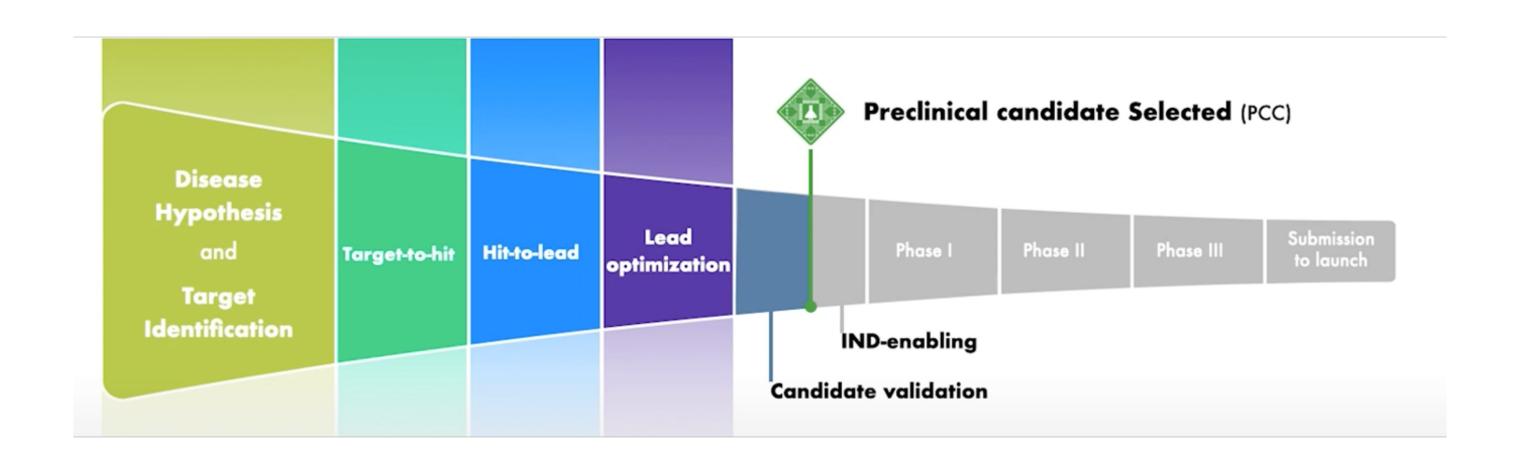
Jure Leskovec *Stanford CS* 







### Al for scientific discovery



### Scientific discovery in the age of artificial intelligence

Hanchen Wang, Tianfan Fu, Yuanqi Du, Wenhao Gao, Kexin Huang, Ziming Liu, Payal Chandak,

Shengchao Liu, Peter Van Katwyk, Andreea Deac, Anima Anandkumar, Karianne Bergen, Carla P.

Gomes, Shirley Ho, Pushmeet Kohli, Joan Lasenby, Jure Leskovec, Tie-Yan Liu, Arjun Manrai, Debora

Marks, Bharath Ramsundar, Le Song, Jimeng Sun, Jian Tang, ... Marinka Zitnik → Show authors

Nature 620, 47–60 (2023) Cite this article

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### Recent emerging paradigm

Al as prediction engines

Predict drug binding affinities
Predict protein structures
Simulate particle interactions

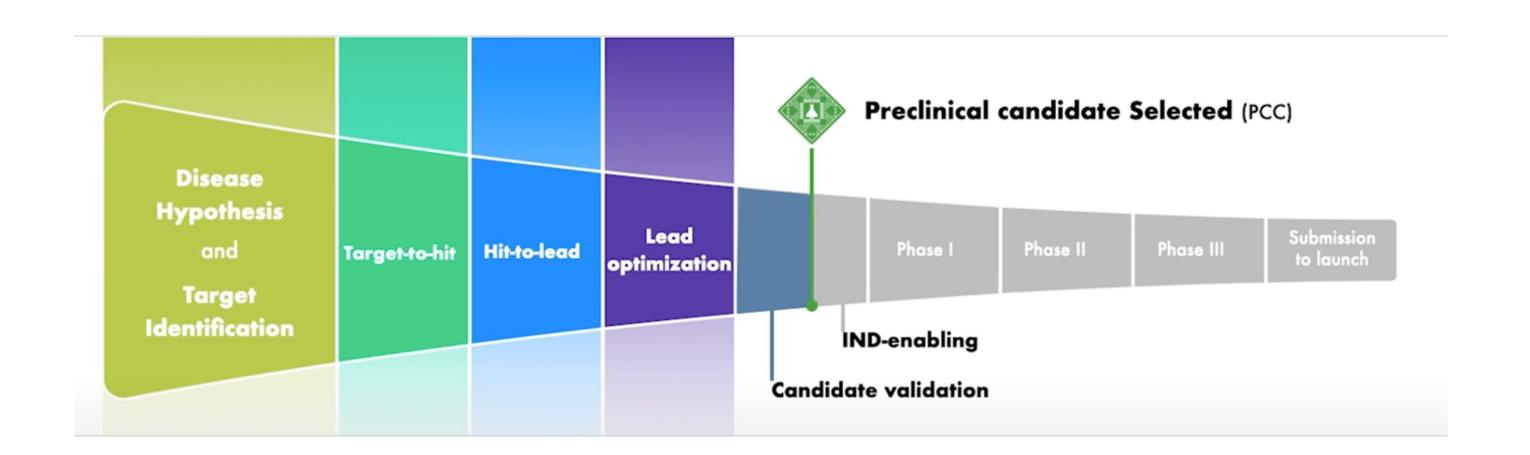
Al as automation agents

Generate hypotheses

Design experiments (wet/dry lab)

Extract & synthesize knowledge

### Al for scientific discovery



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### Recent emerging paradigm



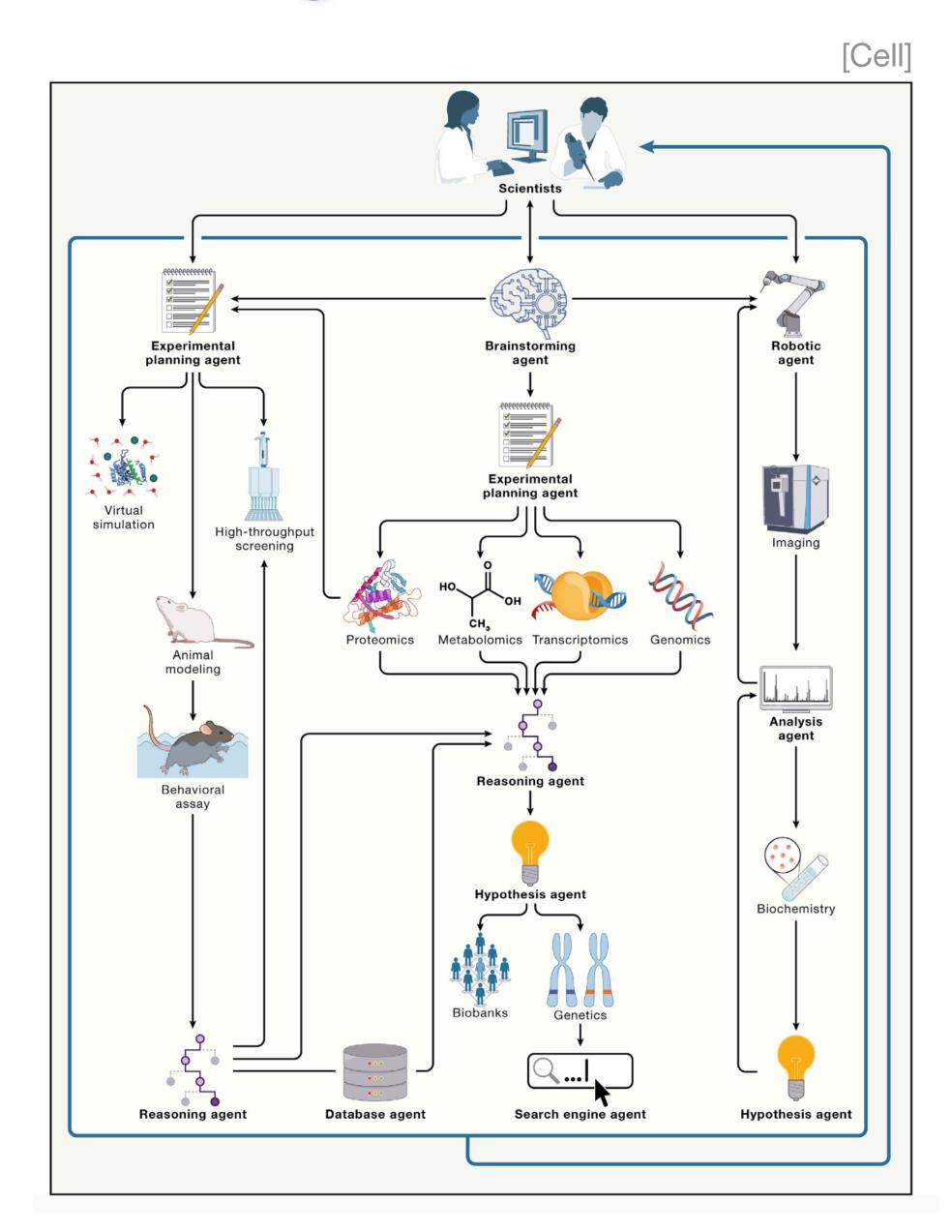
Al as automation agents

The AI Scientist: Towards Fully Automated Open-Ended Scientific Discovery

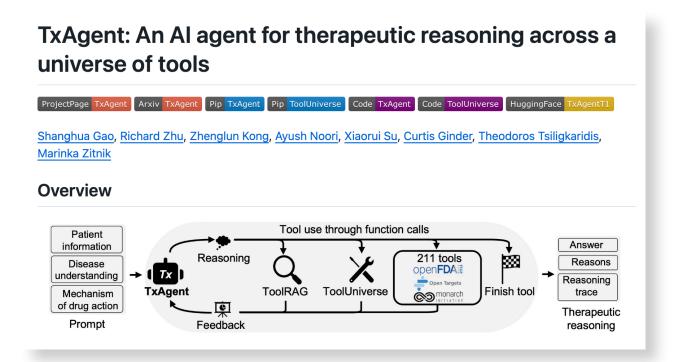
August 13, 2024

[Sakana.ai]

### Al agents for scientific discovery



Al agents: computer programs that can use tools, internal knowledge, and reasoning capabilities to conduct various tasks.



#### SpatialAgent: An autonomous AI agent for spatial biology

Hanchen Wang<sup>1,2,\*,#</sup>, Yichun He<sup>3,\*,+</sup>, Paula P. Coelho<sup>1,\*</sup>, Matthew Bucci<sup>1,\*</sup>, Abbas Nazir<sup>1</sup>, Bob Chen<sup>1</sup>, Linh Trinh<sup>1</sup>, Serena Zhang<sup>2</sup>, Kexin Huang<sup>2</sup>, Vineethkrishna Chandrasekar<sup>1</sup>, Douglas C. Chung<sup>1</sup>, Minsheng Hao<sup>4,+</sup>, Ana Carolina Leote<sup>1</sup>, Yongju Lee<sup>1</sup>, Bo Li<sup>1</sup>, Tianyu Liu<sup>5,+</sup>, Jin Liu<sup>1</sup>, Romain Lopez<sup>1</sup>, Tawaun Lucas<sup>1</sup>, Mingyu Ma<sup>6,+</sup>, Nikita Makarov<sup>7,8,9</sup>, Lisa McGinnis<sup>1</sup>, Linna Peng<sup>1</sup>, Stephen Ra<sup>1</sup>, Gabriele Scalia<sup>1</sup>, Avtar Singh<sup>1</sup>, Liming Tao<sup>1</sup>, Masatoshi Uehara<sup>1</sup>, Chenyu Wang<sup>10,+</sup>, Runmin Wei<sup>1</sup>, Ryan Copping<sup>1</sup>, Orit Rozenblatt-Rosen<sup>1</sup>, Jure Leskovec<sup>2</sup> and Aviv Regev<sup>1,#</sup>

<sup>1</sup>Genentech, <sup>2</sup>Stanford, <sup>3</sup>Harvard, <sup>4</sup>Tsinghua, <sup>5</sup>Yale, <sup>6</sup>UCLA, <sup>7</sup>Roche, <sup>8</sup>LMU, <sup>9</sup>Helmholtz Munich, <sup>10</sup>MIT

(Representative frameworks later than Popper)

Mostly rely on LLMs for tool use, code generation, data exploration, etc.

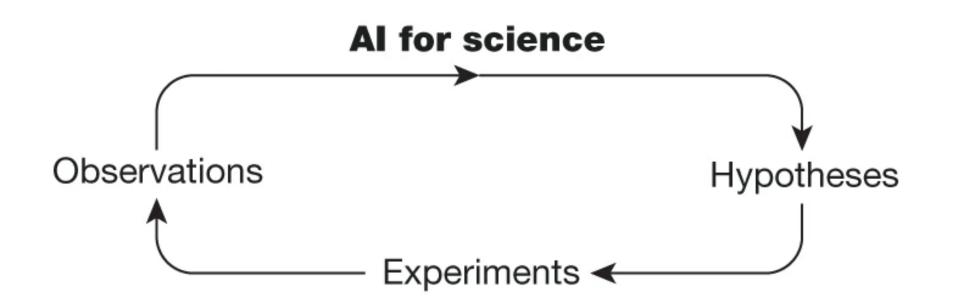
- How to make AI agents work like human scientists?
- How to measure the quality of scientific discoveries made by AI agents?

### A formal framework to ground the discovery process is needed

This talk: some initial thoughts & explorations from a statistician's viewpoint

# Grounding Al agents for scientific discovery?

Activities of Al agents can be framed as gathering evidence to test research hypotheses



Important to make the process more rigorous

- Reasoning process has hallucinations
- Open-ended exploration hard to reach confident conclusions

A critical related thread: Al hypotheses generation

Can LLMs Generate Novel Research Ideas?

A Large-Scale Human Study with 100+ NLP Researchers

Chenglei Si, Diyi Yang, Tatsunori Hashimoto Stanford University

**Hypothesis Generation with Large Language Models** 

Yangqiaoyu Zhou\*, Haokun Liu\*, Tejes Srivastava\* Hongyuan Mei† & Chenhao Tan\*

Department of Computer Science
University of Chicago<sup>4</sup>, Toyota Technological Institute at Chicago<sup>†</sup>
Chicago, IL 60637, USA

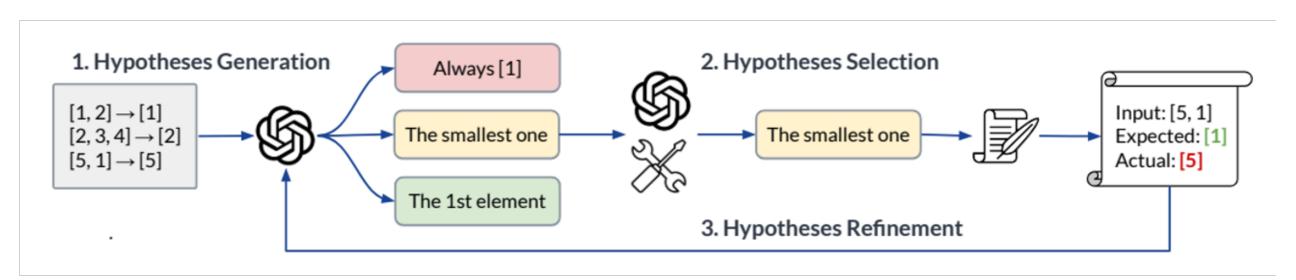
{zhouy1, haokunliu, tejess, chenhao}@uchicago.edu, hongyuan@ttic.edu

Important to gauge their plausibility at scale

- Hypotheses generation also has hallucinations
- Not affordable/worthwhile pursing every generated hypothesis

This talk: automating hypothesis testing with Al agents

### Hypothesis validation with Al agents



How to **rigorously** validate **free-form** hypothesis **at scale**?

Common type of "hypothesis" in the literature

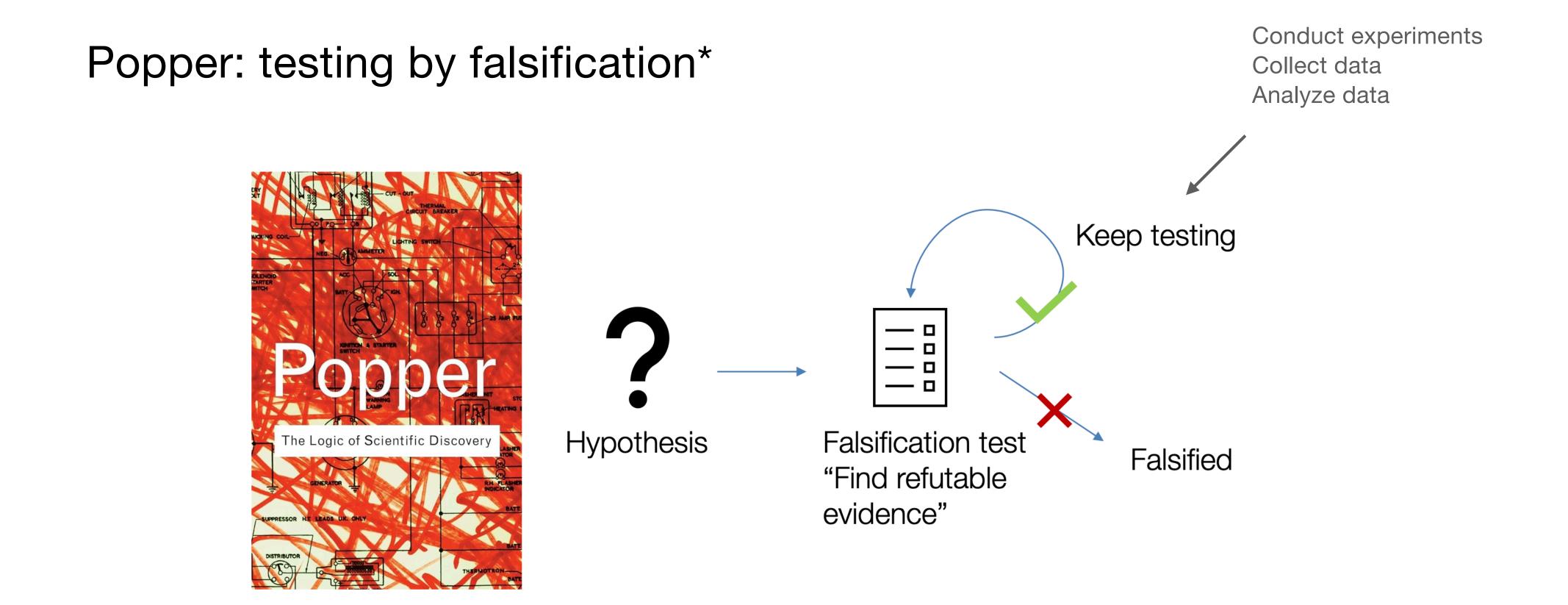
Challenge: Hypothesis of interest can be free-form, abstract, hard to directly test with data

• E.g. LRRC32 is a drug target for Ulcerative colitis (UC)



Same as classical hypothesis testing, except that it's done by Al agents.

# How does a scientist validate a hypothesis?



<sup>\*</sup> We take Popper's perspective on the falsification process (nature of scientific testing), but not necessarily more broadly his view of what is scientific or not.

### Why falsification tests?

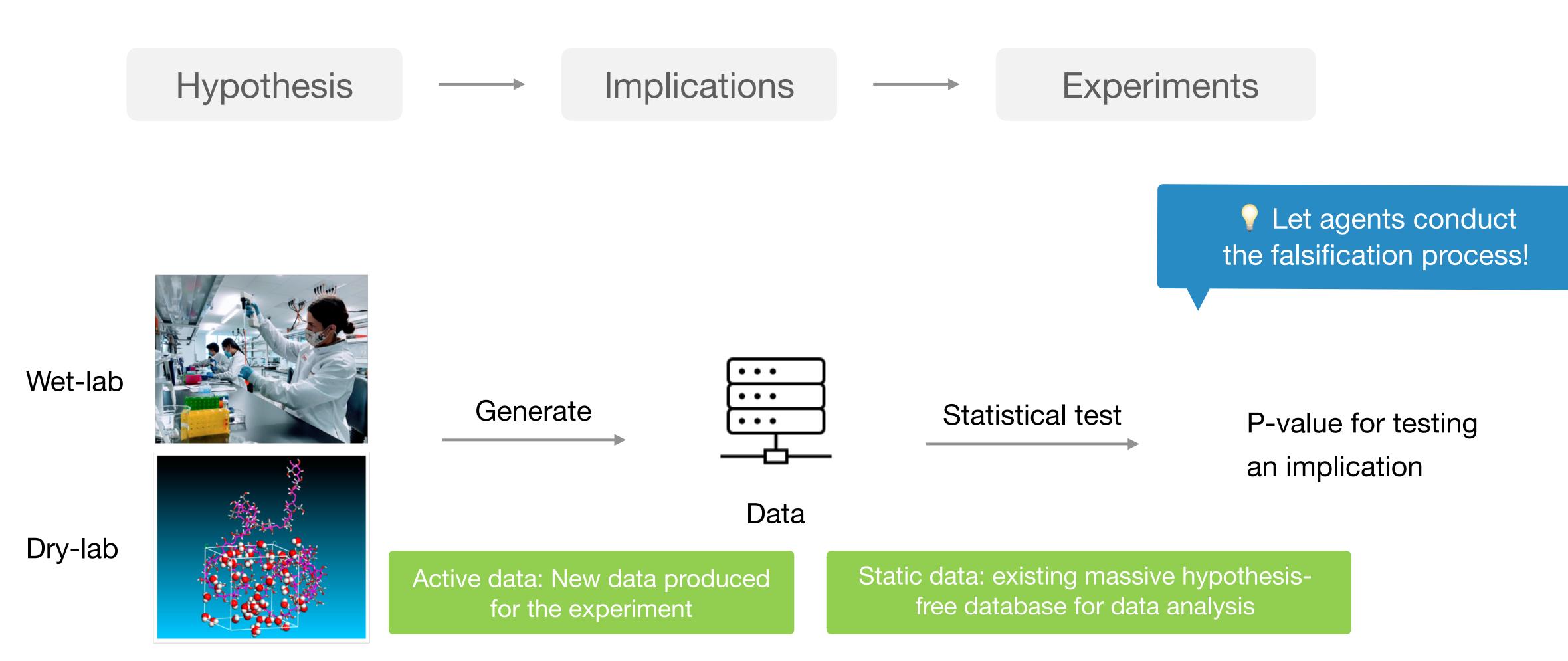
Lots of scientific hypotheses cannot be directly validated with data, but their implications can

- Hypothesis (null): LRRC32 is not a drug target for Ulcerative colitis (UC)
- Implications of the null hypothesis
  - LRRC32 expression should not be significantly high at UC-relevant cell types
- Falsification experiment
  - Null: LRRC32 expression is **not high** in UC cell types
  - Alternative: LRRC32 expression is high in UC cell types

Testable implications provide the opportunity to connect scientific theory to observations by comparing the implications (predictions) of a general hypothesis with real-world evidence (Godfrey-Smith, 2023)

### Why falsification tests?

Lots of scientific hypotheses cannot be directly validated with data, but their implications can



# Building an agent by imitating the falsification process



Designing a falsification experiment requires

- Reasoning
- Domain knowledge
- Creativity

We use an LLM as experiment design agent

- Input: the main hypothesis H
- Output: a designed experiment
  - Implied sub-hypothesis
  - The null and alternative
  - Experimental protocol (what data to collect/analyze)

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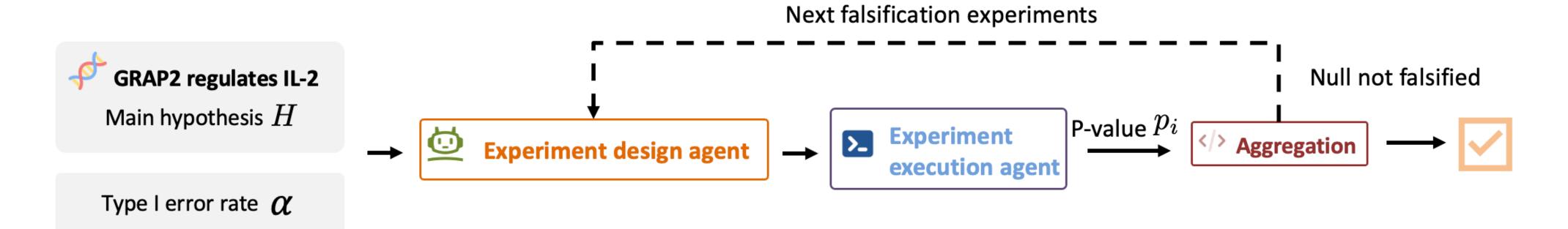
### Execution agent implements the experiment

- Data analysis
- Wet-lab data collection (dream)
- Computer simulations

Basically, another LLM

- Input: the experimental design
- Output: p-values for testing the sub-hypothesis

# Building an agent by imitating the falsification process



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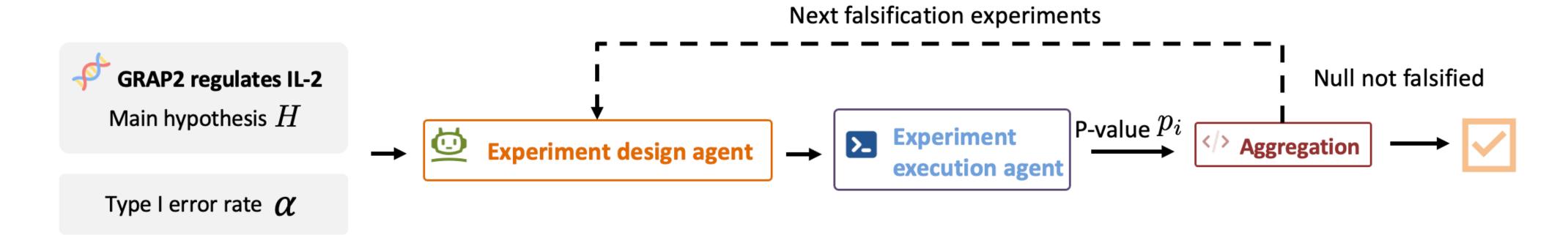
- Data analysis
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Basically, another LLM

- Input: the experimental design
- Output: p-values for testing the sub-hypothesis

Finally, want the process to continue if one round of falsification isn't enough: Aggregation!

# Sequential safe testing with error control



Want the process to continue if one round of falsification isn't enough. Ideally,

- Adaptively determine whether to continue or not
- Combine diverse evidence from multiple rounds that test different sub-hypotheses
- Maintain statistical rigor for final output



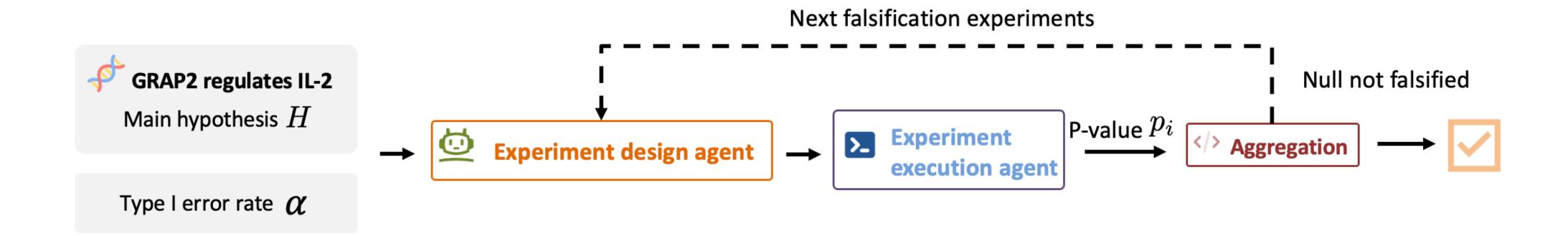
Sequential testing with e-values!

**E-value**: non-negative random variable whose expectation under the null is at most 1. [Vovk and Wang, 2021]

Benefits of e-values:

easy to combine, flexible to construct, optional stopping, valid testing, interpretable as "wealth"...

### POPPER: theoretical framework



Goal: valid type-I error control  $\mathbb{P}(\text{reject}) \leq \alpha$  if the main null hypothesis  $H_0$  is true

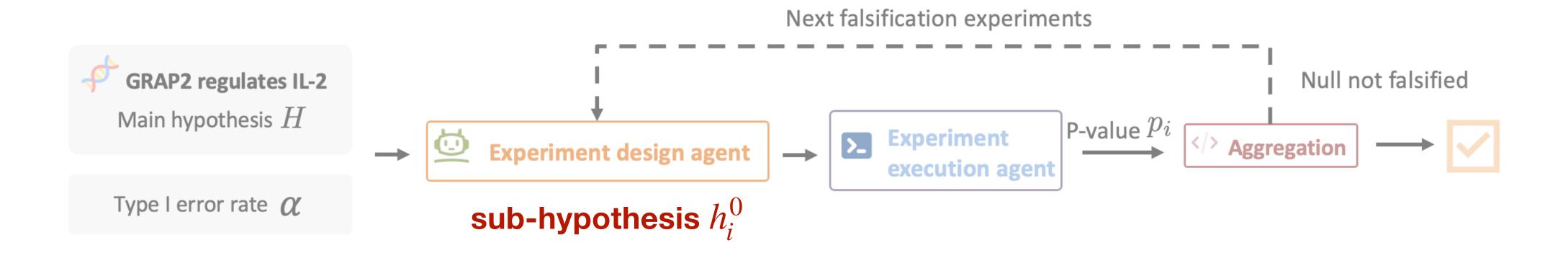
- Rigorous error control for scientific discovery
- Quantify strength of evidence even for early-stage exploration

What is needed in the whole process to guarantee type-I error control?

- 1. Implication
- 2. E-value validity
- 3. Optional stopping

Then, aggregating the e-values in existing rounds leads to a valid e-value for testing  ${\cal H}_0$ 

### POPPER: theoretical framework

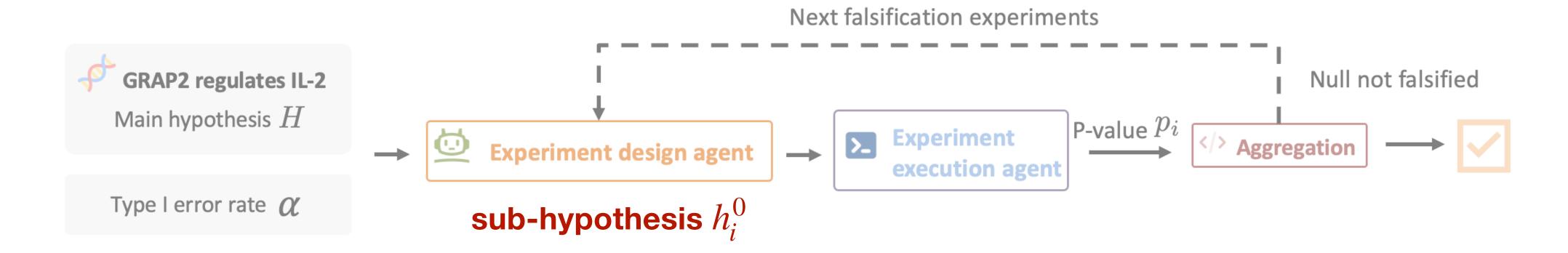


1. Implication: In each round i, the design agent proposes a sub-hypothesis  $h_i^0$  such that  $H_0$  implies  $h_i^0$ 

The falsification sub-hypothesis needs to be very relevant to the main hypothesis such that if the null of the main hypothesis is true, then the null of sub-hypothesis must also be true.

- Null of the main hypothesis:
  - ► LRRC32 is **not** a drug target for Ulcerative colitis (UC)
- Null of the sub-hypothesis:
  - LRRC32 expression is **not** high in UC cell types

# POPPER: satisfying condition 1



- 1. Implication: In each round i, the design agent proposes a sub-hypothesis  $h_i^0$  such that  $H_0$  implies  $h_i^0$ 
  - Self-refine based prompting

Relevance checker

$$R(h) \in [0, 1]$$

Requires strong reasoning capabilities!

First produce an initial falsification test proposal.

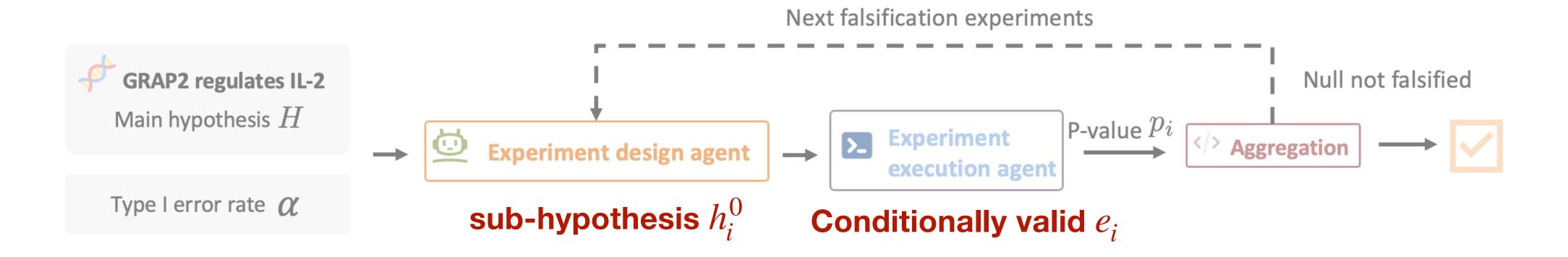
Then, in each round i, you will do the following:

- (1) critic: ask if the main hypothesis is null, is this test also null? be rigorous. this is super important, otherwise, the test is invalid. Is it redundant on capabilities with existing tests? Is it overlapping with failed tests? Can this be answered and implemented based on the given data?
- (2) reflect: how to improve this test definition.

#### Rubric:

- 1.0 Highly Relevant: The sub-hypothesis provides direct evidence or a clear mechanistic insight that strongly supports or refutes the main hypothesis. The test is specific to variables or mechanisms involved in the main hypothesis, with significant predictive value.
- 0.8 Strongly Relevant: The test addresses a major component of the main hypothesis, providing substantial supporting or refuting evidence, and shows strong mechanistic alignment. The results would significantly impact the confidence in the main hypothesis.
- 0.6 Moderately Relevant: The test examines elements supporting the main hypothesis without direct mechanistic insight. Some aspects align with the main hypothesis, offering moderate predictive value.
- 0.4 Slightly Relevant: The test is related to the main hypothesis but provides limited direct evidence. It explores loosely associated variables and has minimal predictive value.
- 0.2 Barely Relevant: The test is tangentially related, providing minimal information that could impact the main hypothesis, with no clear mechanistic link and negligible predictive value.
- 0.1 Irrelevant: The sub-hypothesis does not provide relevant evidence or mechanistic connection to the main hypothesis, with no predictive value.

### POPPER: theoretical framework

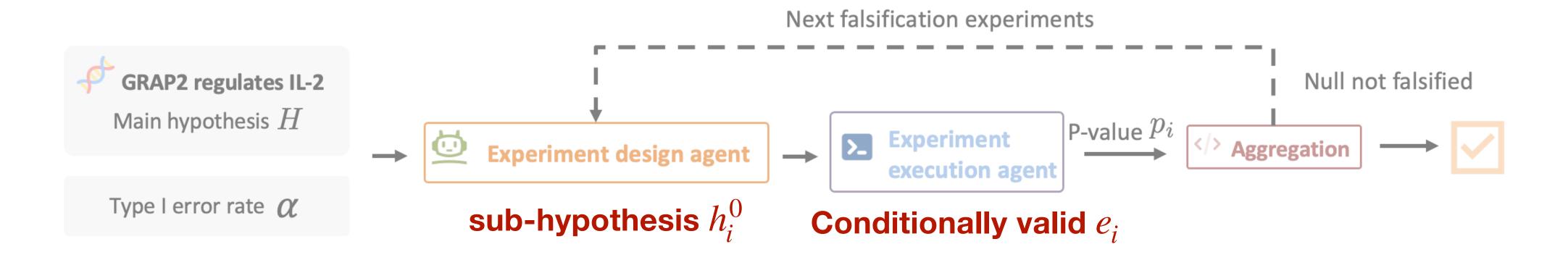


2. **E-value validity**: Let  $\mathscr{D}_i$  be the data used in all rounds before i, then the execution agent in round i produces a nonnegative random variable  $e_i$  obeying  $\mathbb{E}[e_i \mid \mathscr{D}_i] \leq 1$  under the sub-null  $h_i^0$ 

### Satisfied if

- Data is not reused
  - With static data (fixed database), decision to use a dataset doesn't involve the data
  - With active data collection, naturally true since data is collected anew
- Statistical analysis for getting the e-value is well executed

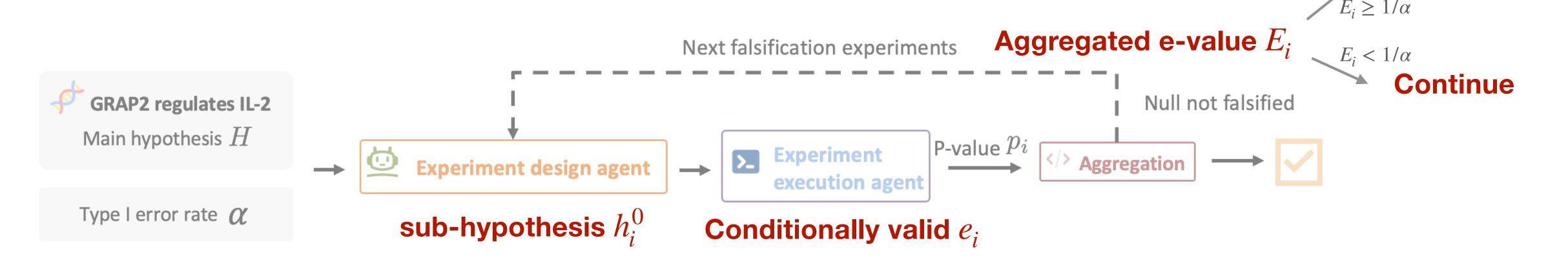
# POPPER: satisfying condition 2



- 2. **E-value validity**: Let  $\mathscr{D}_i$  be the data used in all rounds before i, then the execution agent in round i produces a nonnegative random variable  $e_i$  obeying  $\mathbb{E}[e_i \mid \mathscr{D}_i] \leq 1$  under the sub-null  $h_i^0$ 
  - Controlling information flow
    - Meta-data only access (design agent sees only the schema, not the raw data)
    - No raw data from previous round is used in the next round
  - Calibrate e-values from p-value (instead of directly asking for an e-value)
    - We find current LLMs are good at getting valid p-values, but not at producing e-values directly
    - So we first ask the agent to compute a (conditionally valid) p-value and convert it to an e-value

$$e_i = \kappa \times p_i^{\kappa - 1}, \quad \kappa \in (0, 1).$$

### POPPER: theoretical framework

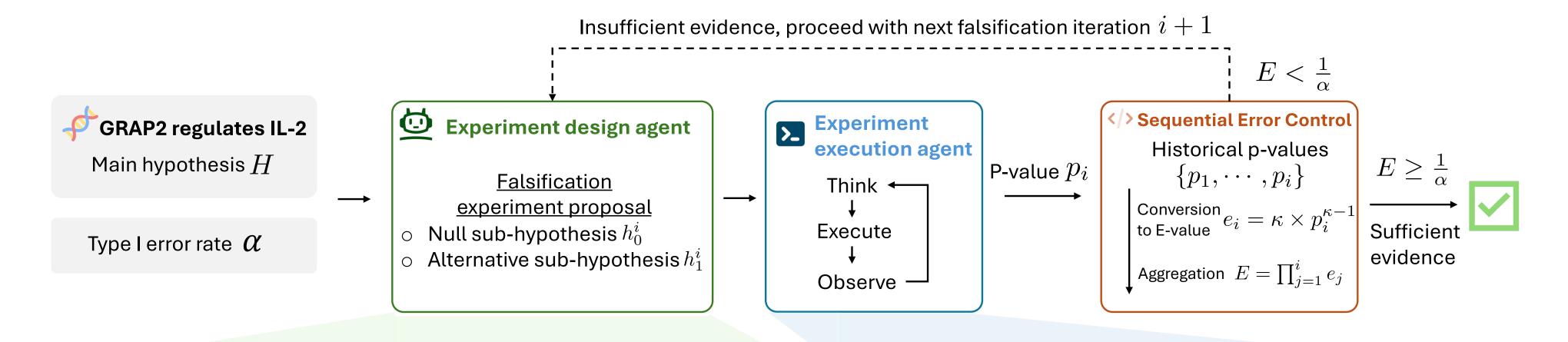


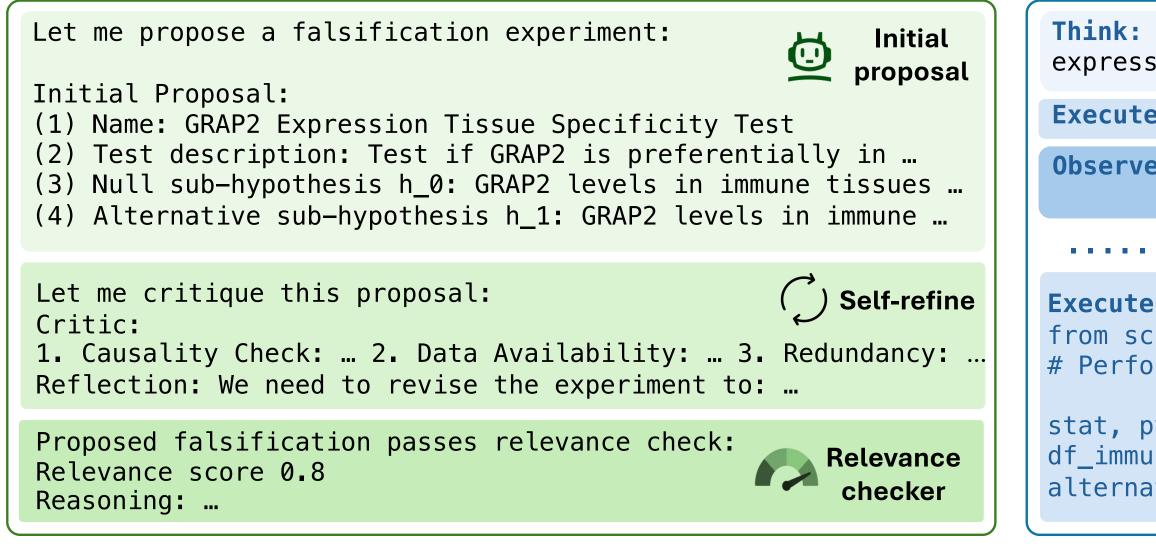
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- 3. Stopping: Define aggregated e-value as  $E_i = \prod_{t \le i} e_t$ . We stop if and only if  $i \ge T_0$  or  $E_i \ge 1/\alpha$

The terminal e-value  $E_{\tau}$  obeys  $\mathbb{E}[E_{\tau}] \leq 1$  under the global null  $H_0$ , hence the test  $\mathbf{1}\{E_{\tau} \geq 1/\alpha\}$  controls the type-I error

Reject null  $H_0$ 

### POPPER





### Instantiation of POPPER with static data

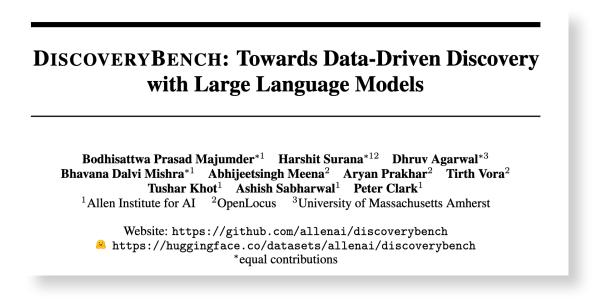
Static data: massive atlas of hypothesis-free diverse multi-scale and multi-modal datasets



Experiment execution: python coding environment

### Evaluation domain

- Target validation in biology (TargetVal):
  - A key task in drug discovery
  - Validating hypothesis of the form "Gene A regulates Phenotype B"
  - Ground truth: expensive CRISPR experiment
- Discovery Bench: 86 non-null hypotheses mined from literature with paired data
  - Sociology, biology, humanities, economics, engineering, and meta-science
  - "From 1700 BCE onwards, the use of hatchets and swords increased while the use of daggers decreased"



### Curated static database for biology

```
df_gtex_tissue_gene_tpm:
{'Description': 'ENSG00000186092', 'Tissue': 'Adipose - Subcutaneous', 'Expression': 0.0453961, 'Gene': 'OR4F5'}
{'DATE ADDED TO CATALOG': '2018-07-30', 'PUBMEDID': 27618447, 'FIRST AUTHOR': 'Surendran P', 'DATE': '2016-10-01', 'JOURNAL': 'Nat Genet', 'LINK': 'www.ncbi.nlm.nih.
hypertension.', 'DISEASE/TRAIT': 'systolic blood pressure', 'INITIAL SAMPLE SIZE': 'up to 165,276 European ancestry individuals, up to 27,487 South Asian ancestry in
Hispanic individuals, 22,077 African American individuals', 'REGION': '3q26.2', 'CHR ID': '3', 'CHR POS': '169383111', 'REPORTED GENE(S)': 'MECOM', 'MAPPED GENE': 'MI
'DOWNSTREAM GENE DISTANCE': nan, 'STRONGEST SNP-RISK ALLELE': 'rs448378-A', 'SNPS': 'rs448378', 'MERGED': 0, 'SNP ID CURRENT': 448378.0, 'CONTEXT': 'intron_variant',
BETA': 0.0196, '95% CI (TEXT)': 'mmHg decrease', 'PLATFORM [SNPS PASSING QC]': 'Illumina [242296]', 'CNV': 'N')
{'gene_id': 'ENSG00000228037', 'transcript_id': 'ENST00000424215', 'chr': '1', 'gene_start': 2581560, 'gene_end': 2584533, 'strand': 1, 'transcript_start': 2581560,
 'gene_type': 'lncRNA'}
{'interaction_id': 206363, 'gene_a_id': 'YCR011C', 'gene_b_id': 'YCL025C', 'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:16269340', 'organism_id_a': 55
df_genebass_synonymous_filtered:
{'annotation': 'synonymous', 'Pvalue': 0.72199, 'Pvalue_Burden': 0.85198, 'Pvalue_SKAT': 0.52215, 'BETA_Burden': 0.8034703, 'SE_Burden': 0.018598, 'gene': 'TSPAN6',
{'annotation': 'missense_LC', 'Pvalue': 0.85434, 'Pvalue_Burden': 0.69637, 'Pvalue_SKAT': 0.87135, 'BETA_Burden': -0.0046974, 'SE_Burden': 0.012037, 'gene': 'TSPAN6'
df_genebass_pLoF_filtered:
{'annotation': 'pLoF', 'Pvalue': 0.59145, 'Pvalue_Burden': 0.96398, 'Pvalue_SKAT': 0.42053, 'BETA_Burden': -0.00053797, 'SE_Burden': 0.011913, 'gene': 'DPM1', 'pheno
{'interaction_id': 241421, 'gene_a_id': 'ENSG00000169217', 'gene_b_id': 'ENSG00000144028', 'experimental_system_type': 'physical', 'pubmed_id': 'PUBMED:17353931', 'o
{'interaction_id': 269293, 'gene_a_id': 'ENSG00000114395', 'gene_b_id': 'ENSG00000109103', 'experimental_system_type': 'physical', 'pubmed_id': 'PUBMED:16169070', 'o
{'interaction_id': 299191, 'gene_a_id': 'SPBC30D10.04', 'gene_b_id': 'SPAC14C4.13', 'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:18931302', 'organism_
df_affinity_capture_rna:
{'interaction_id': 637589, 'gene_a_id': 'YDR515W', 'gene_b_id': 'YAL030W', 'experimental_system_type': 'physical', 'pubmed_id': 'PUBMED:22271760', 'organism_id_a': 5
{'interaction_id': 740709, 'gene_a_id': 'ENSG00000160201', 'gene_b_id': 'ENSG00000063244', 'experimental_system_type': 'physical', 'pubned_id': 'PUBNED:22939629', 'o
{'interaction_id': 818564, 'gene_a_id': 'YLR418C', 'gene_b_id': 'YLR103C', 'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:23390603', 'organism_id_a': 55
{'interaction_id': 908565, 'gene_a_id': 'YBL009W', 'gene_b_id': 'YPR058W', 'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:22282571', 'organism_id_a': 55
{'interaction_id': 913336, 'gene_a_id': 'ENSG00000206560', 'gene_b_id': 'ENSG00000103194', 'experimental_system_type': 'physical', 'pubned_id': 'PUBNED:24255178', 'o
{'interaction_id': 986367, 'gene_a_id': 'YJR017C', 'gene_b_id': 'YHR114W', 'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:24470217', 'organism_id_a': 55
{'interaction_id': 2841862, 'gene_a_id': 'ENSG00000169083', 'gene_b_id': 'ENSG00000097007', 'experimental_system_type': 'physical', 'pubmed_id': 'PUBMED:24728074',
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{'rs_id': 'rs41265213', 'gene_id': 'ENSG00000160712', 'cell_type_name': 'SCALLOP_2020-UBERON_0001969', 'qtl_score': 5.13715334001706, 'gene_name': 'IL6R'}
{'rs_id': 'rs12756687', 'gene_id': 'ENSG00000265491', 'cell_type_name': 'GTEx-sQTL-Brain_Caudate_basal_ganglia', 'qtl_score': 3.19846622732464, 'gene_name': 'RNF115'
{'RS': 'rs116587930', 'ID': '1:727841_G_A', 'CHR': 1, 'POS': 727841, 'A1': 'G', 'A2': 'A', 'MAF': 0.0507035}
{'pheno_name': '120_1', 'description': 'Birth weight known; Yes - pounds and ounces'}
```

We curated a database of 85 million observations (rows) across 22 sources in biology for our experiment.

Typical biostatisticians work with a few datasets only; the agent can perform joint analysis across massive datasets from different modalities and sub-fields of biology.

This is a difficult task that requires expertise and familiarity with many cross-disciplinary skills.

### A real example

```
Problem Statement
Main Hypothesis:
Gene GRAP2 regulates the production of Interleukin-2 (IL-2).
Data sources:
df_gtex_tissue_gene_tpm:
{'Description': 'ENSG00000186092', 'Tissue': 'Adipose - Subcutaneous',
'Expression': 0.0453961, 'Gene': 'OR4F5'}
df_gene_info:
{'gene_id': 'ENSG00000228037', 'transcript_id': 'ENST00000424215',
'chr': '1', 'gene_start': 2581560, 'gene_end': 2584533, 'strand': 1,
'transcript_start': 2581560, 'transcript_end': 2584533,
'tss': 2581560, 'transcript_is_canonical': 1.0, 'gene_name': nan,
 'percentage_gene_gc_content': 51.11, 'gene_type': 'lncRNA'}
df_genetic_interaction:
{'interaction_id': 206363, 'gene_a_id': 'YCR011C', 'gene_b_id': 'YCL025C',
'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:16269340',
 'organism_id_a': 559292, 'organism_id_b': 559292,
 'throughput_type': 'High Throughput', 'experimental_score': '-5.6431'}
# 19 more datasets omitted for presentation
```

#### **Experiment Design Agent**

#### Round 4

Let me propose an initial falsification test:

Name: LCP2 eQTL-IL2 Regulatory Region Test

Test description: Test if genetic variants that affect LCP2 expression (eQTLs) are enriched in regulatory regions near the IL2 gene, which would suggest direct regulatory relationship.

Null sub-hypothesis h\_0: Genetic variants that are eQTLs for LCP2 are not significantly enriched in regulatory regions near IL2 gene compared to background.

Alternative sub-hypothesis h\_1: Genetic variants that are eQTLs for LCP2 are significantly enriched in regulatory regions near IL2 gene compared to background.

Let me critique this proposal:

#### Critic:

- Causality Direction: If the main hypothesis (LCP2 regulates IL2) is null, this
  test might still show significance if there's a third factor affecting both
  genes, or if IL2 regulates LCP2. Therefore, the test doesn't fully satisfy the
  crucial property that null main hypothesis implies null sub-hypothesis.
- Data Availability: Looking at the provided data sources, while we have eQTL data from UKBB (df\_eqtl\_ukbb), we don't have comprehensive regulatory region annotations or IL2 genomic location data to properly implement this test.
- Non-redundancy: While this test examines a different aspect than previous tests (genetic regulation vs. expression correlation or protein interactions), it may partially overlap with the variant-phenotype associations tested in Round 3.

#### Reflection:

#### We need a test that:

- 1. Has stronger directional causality aligned with the main hypothesis
- 2. Can be clearly implemented with available data
- 3. Provides unique evidence

Let me propose a refined test:

Name: LCP2 Immune Cell-Specific Expression Test

Test description: Test if LCP2 expression is specifically elevated in immune cell types where IL2 production is known to occur (e.g., T cells) compared to other tissues using GTEx tissue expression data.

Null sub-hypothesis h\_0: LCP2 expression in immune tissues is not significantly higher than in non-immune tissues.

Alternative sub-hypothesis h\_1: LCP2 expression in immune tissues is significantly higher than in non-immune tissues.

#### Critic of refined version:

- Causality: If the main hypothesis is null, this test would also be null because
  if LCP2 doesn't regulate IL2, there's no requirement for it to be specifically
  expressed in IL2-producing cells.
- Data Feasibility: We can implement this using df\_gtex\_tissue\_gene\_tpm data.
- Non-redundancy: This test provides unique tissue-specific evidence different from previous tests.
- Biological Relevance: This test aligns with the biological context of IL2 regulation.

This refined test appears to meet all our criteria.

#### Relevance Checker

Proposed falsification test passes relevance check:

Proposal: Main hypothesis: Gene LCP2 regulates the production of Interleukin-2 (IL -2).

Falsification Test name: LCP2 Immune Cell-Specific Expression Test

Falsification Test description: Test if LCP2 expression is specifically elevated in immune cell types where IL2 production is known to occur (e.g., T cells) compared to other tissues using GTEx tissue expression data.

Falsification Test Null sub-hypothesis: LCP2 expression in immune tissues is not significantly higher than in non-immune tissues.

Falsification Test Alternate sub-hypothesis: LCP2 expression in immune tissues is significantly higher than in non-immune tissues.

Relevance score 0.8

Reasoning: This sub-hypothesis test is strongly relevant to the main hypothesis for several reasons:

- Mechanistic Connection: Since IL-2 is primarily produced by immune cells ( especially T cells), testing LCP2's expression pattern in immune vs non-immune tissues directly addresses a key mechanistic aspect of the hypothesized regulatory relationship.
- Evidence Strength: The test provides strong circumstantial evidence if LCP2 is specifically expressed in IL-2-producing immune cells, it supports its potential role as a regulator. However, it doesn't directly prove regulation, which is why it's not scored as 1.0.
- 3. Predictive Value: The test results would significantly impact confidence in the main hypothesis. If LCP2 is not expressed in IL-2-producing cells, it would be difficult to support its role as a direct regulator. Conversely, specific expression in these cells would strengthen the hypothesis.

The test doesn't get a 1.0 score because while it provides strong supporting evidence, it doesn't directly test the regulatory relationship - LCP2 could be expressed in these cells but not actually regulate IL-2 production.

#### **Experiment Execution Agent** I apologize, but I notice a potential issue. Looking at the datasets provided, while we have GTEx tissue expression data (df\_gtex\_tissue\_gene\_tpm), we need to ensure that: 1. The LCP2 gene is actually present in the dataset 2. We can properly identify immune vs non-immune tissues 3. We have enough samples for a meaningful statistical comparison Let me first check if LCP2 is in the dataset. Action: python\_repl\_ast Action Input: # Check unique genes in the dataset unique\_genes = df\_gtex\_tissue\_gene\_tpm['Gene'].unique() print(f"Number of unique genes: {len(unique\_genes)}") print("\nFirst few genes:") print(list(unique\_genes)[:5]) # Check if LCP2 is in the dataset lcp2\_data = df\_gtex\_tissue\_gene\_tpm[df\_gtex\_tissue\_gene\_tpm['Gene'] == 'LCP2'] print("\nNumber of LCP2 entries:", len(lcp2\_data))

# Number of unique genes: 18639 First few genes: ['OR4F5', 'OR4F29', 'OR4F16', 'SAMD11', 'NOC2L']

#### **Experiment Execution Agent**

Number of LCP2 entries: 54

Observation

```
Observation
Number of tissues with LCP2 expression: 54
Tissues and their expression values:
                                            Tissue Expression
                                       Whole Blood
994744
                                                     91.342300
882754
                                                     63.694300
                                            Spleen
677439
                                                     35.695100
                                              Lung
416129
                                                     18.756800
              Cells - EBV-transformed lymphocytes
                     Adipose - Visceral (Omentum)
                                                     18.473700
24164
                 Small Intestine - Terminal Ileum
                                                     18.356600
864089
                                                     15.769000
5499
                           Adipose - Subcutaneous
                                                      9.721680
733434
                                    Nerve - Tibial
453459
                                                      9.024360
                               Cervix - Endocervix
378799
                          Breast - Mammary Tissue
                                                      8.800150
80159
                                                      8.208850
                                Artery - Coronary
434794
                                                      7.951800
                               Cervix - Ectocervix
808094
                                                      7.538440
                                          Prostate
                                                      7.335620
117489
                                           Bladder
                                                      6.843810
61494
                                   Artery - Aorta
565449
                                                      6.762460
                                    Fallopian Tube
490789
                                                      6.693410
                                Colon - Transverse
938749
                                           Thyroid
                                                      6.290940
               Brain - Spinal cord (cervical c-1)
341469
                                                      6.247870
976079
                                                      5.778990
                                            Vagina
957414
                                            Uterus
                                                      5.630640
                                                      5.457470
42829
                                     Adrenal Gland
901419
                                                      5.345570
                                           Stomach
98824
                                  Artery - Tibial
                                                      5.117030
                                                      4.768020
640109
                                  Kidney - Medulla
696104
                             Minor Salivary Gland
                                                      4.435650
                                                      4.373440
546784
                           Esophagus - Muscularis
248144
                     Brain - Frontal Cortex (BA9)
                                                      4.343040
                                                      4.264300
509454
            Esophagus - Gastroesophageal Junction
                                                      4.195200
472124
                                   Colon - Sigmoid
845424
                   Skin - Sun Exposed (Lower leg)
                                                      4.153360
360134
                         Brain - Substantia nigra
                                                      3.530560
229479
                                                      3.333310
                                    Brain - Cortex
              Skin - Not Sun Exposed (Suprapubic)
                                                      3.123410
826759
528119
                                Esophagus - Mucosa
                                                      3.122660
789429
                                                      2.997920
                                         Pituitary
154819
         Brain - Anterior cingulate cortex (BA24)
                                                      2.725530
                                                      2.721940
658774
                                             Liver
752099
                                             Ovary
                                                      2.544880
                                                      2.409530
                                   Kidney - Cortex
621444
584114
                                                      2.365340
                         Heart - Atrial Appendage
285474
                              Brain - Hypothalamus
                                                      2 184320
```

#### **Experiment Execution Agent** I can now perform the falsification test. I'll categorize the tissues into immune and non-immune groups and perform a statistical test to compare LCP2 expression between these groups. The following tissues will be considered immune-related: 1. Whole Blood Spleen 3. Cells - EBV-transformed lymphocytes Action: python\_repl\_ast Action Input: # Define immune tissues immune\_tissues = ['Whole Blood', 'Spleen', 'Cells - EBV-transformed lymphocytes'] # Create two groups for comparison immune\_expression = lcp2\_data[lcp2\_data['Tissue'].isin(immune\_tissues)]['Expression non\_immune\_expression = lcp2\_data[~lcp2\_data['Tissue'].isin(immune\_tissues)][' Expression'] # Perform Mann-Whitney U test (non-parametric test since we can't assume normal distribution) from scipy.stats import mannwhitneyu stat, pvalue = mannwhitneyu(immune\_expression, non\_immune\_expression, alternative=' print(f"Mann-Whitney U test statistic: {stat}") print(f"P-value: {pvalue:.2e}") print("\nMedian expression in immune tissues:", immune\_expression.median()) print ("Median expression in non-immune tissues:", non\_immune\_expression.median())

#### Observation

```
Mann-Whitney U test statistic: 152.0
P-value: 8.06e-05
Median expression in immune tissues: 63.6943
Median expression in non-immune tissues: 4.2643
```

#### **Experiment Execution Agent**

Based on the statistical analysis, I can now provide a conclusive answer about the falsification test:

Final Answer: Falsification test passes. The null hypothesis is rejected with a p-value of 8.06e-05. The analysis shows that LCP2 expression is significantly higher in immune tissues compared to non-immune tissues. The median expression in immune tissues (63.69 TPM) is substantially higher than in non-immune tissues (4.26 TPM), supporting the alternate hypothesis that LCP2 expression is specifically elevated in immune tissues.

#### **Sequential Error Control**

#### **Summarizer**

Let me summarize the sequential falsification testing results:

- (1) Main Scientific Hypothesis: Gene LCP2 regulates the production of Interleukin-2 (IL-2)
- (2) Result of Sequential Falsification Test:
- The sequential testing passed with a combined e-value of 22.68, after completing 4 tests.
- (3) Reasoning and Analysis:
- Expression Correlation Test (Round 1) showed strong correlation between LCP2 and IL-2 expression
- Regulatory Network Analysis (Round 2) demonstrated significant physical interactions with IL-2 regulators
- Variant-Immune Phenotype Association Test (Round 3) failed to show significant association (p=0.0942 > 0.05)
- Immune Cell-Specific Expression Test (Round 4) showed highly significant immune tissue-specific expression (p=8.06e-05)
- (4) Conclusion: True
- (5) Rationale:

The hypothesis is supported based on multiple lines of evidence:

- Strong expression correlation between LCP2 and IL-2
- Confirmed physical interactions with IL-2 regulatory proteins

- The combined e-value (22.68) indicates strong cumulative evidence

- Highly significant immune cell-specific expression pattern
- Although the variant association test didn't reach significance, the other three tests provided strong supporting evidence, and the overall sequential testing procedure passed the predetermined threshold. The multiple complementary

procedure passed the predetermined threshold. The multiple complementary approaches testing different aspects of the relationship between LCP2 and IL-2 production collectively support the regulatory relationship.

### POPPER achieves type-I error control & improved power

- Evaluating type-I error
  - Randomly permute relevant columns in the databases so all hypotheses are null
- Evaluating power
  - Use the original database, but test on hypotheses known to be non-null

Methods using LLM capabilities without the "statistical layer"

Methods using other aggregation of p-values / construction of e-values

Method	Type I Error ( $\alpha = 0.1$ )			Power		
	DiscoveryBench	TargetVal-IL2	TargetVal-IFNG	DiscoveryBench	TargetVal-IL2	TargetVal-IFNG
CodeGen	0.145±0.031×	$0.020 \pm 0.014$	0.004±0.009	0.378±0.066	$0.140{\scriptstyle\pm0.022}$	$0.040 \pm 0.042$
CodeGen (o1)	0.248±0.015×	$0.013 \pm 0.012$	$0.000 \pm 0.000$	$0.419 \pm 0.028$	$0.250{\scriptstyle\pm0.100}$	$0.183{\scriptstyle\pm0.076}$
ReAct	0.078±0.061	$0.000 \pm 0.000$	$0.000 \pm 0.000$	$0.383 \pm 0.017$	$0.010{\scriptstyle\pm0.022}$	$0.020{\scriptstyle\pm0.045}$
Self-Refine	0.117±0.028×	0.100±0.069 ✓	0.067±0.064	$0.476 \pm 0.066$	$0.183 \pm 0.029$	$0.067 \pm 0.064$
Fisher Combined Test	0.311±0.040×	$0.264 \pm 0.083 \times$	$0.173 \pm 0.023 \times$	$0.741 \pm 0.058$	$0.800 \pm 0.071$	$0.650 \pm 0.050$
LLM-Likelihood ratio	0.152±0.031×	$0.016 \pm 0.014$	$0.180 \pm 0.028$	$0.428 \pm 0.034$	$0.185{\scriptstyle\pm0.074}$	$0.357 {\scriptstyle\pm0.132}$
POPPER (Ours)	0.103±0.020	0.082±0.046	0.085±0.028	0.638*±0.066	0.580*±0.125	0.591*±0.069

Safe testing and versatile p-to-e calibration is key to error control

### POPPER achieves type-I error control & improved power

Method	Type I Error ( $\alpha = 0.1$ )			Power		
	DiscoveryBench	TargetVal-IL2	TargetVal-IFNG	DiscoveryBench	TargetVal-IL2	TargetVal-IFNG
POPPER-NoReleCheck	0.134±0.021×	0.340±0.139×	0.300±0.113×	0.610±0.042	$0.897 \pm 0.004$	$0.717 \pm 0.126$
POPPER-CodeGen	0.140±0.022×	$0.105 \pm 0.017$	0.090±0.045	$0.544 \pm 0.032$	$0.526{\scriptstyle\pm0.133}$	$0.450 \pm 0.079$
POPPER (Ours)	0.103±0.020	0.082±0.046	0.085±0.028	0.638*±0.066	0.580*±0.125	0.591*±0.069

### Compared to NoReleCheck

→ relevant checker is a key factor in controlling type-I error (assumption 1)

### Compared to CodeGen

~ reasoning in execution agent improves power

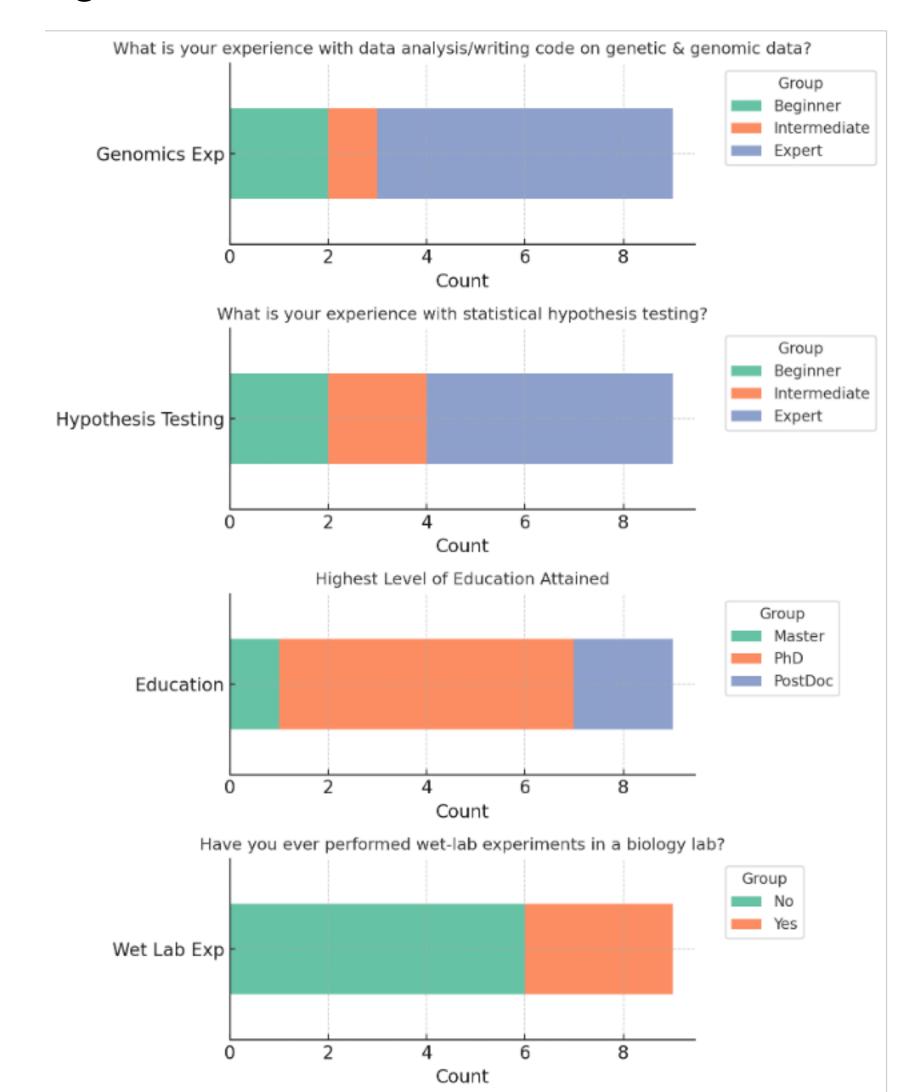
### POPPER with various LLM backbones

Method	Type I Error	$\alpha = 0.1$	Power		
1,100100	DiscoveryBench	TargetVal-IL2	DiscoveryBench	TargetVal-IL2	
Claude-Haiku-3.5	0.230±0.079	$0.780 \pm 0.120$	0.844±0.017	$0.835 \pm 0.113$	
Llama 3.3 70B	$0.147 \pm 0.036$	$0.116 \pm 0.020$	$0.690 \pm 0.027$	$0.515 \pm 0.078$	
GPT-4o	$0.143 \pm 0.039$	$0.096 \pm 0.043$	$0.730 \pm 0.054$	$0.385 \pm 0.102$	
Claude-Sonnet-3.5	$0.103 \pm 0.020$	$0.082 \pm 0.046$	$0.638 \pm 0.066$	<b>0.580*</b> ±0.125	
o1	<b>0.091*</b> ±0.015	<b>0.031*</b> ±0.015	<b>0.654*</b> ±0.019	$0.336 \pm 0.121$	

- ► The success of POPPER relies heavily on the reasoning capabilities of LLMs
  - Need to create correct implied sub-hypotheses
  - Need to generate reasonable experimental designs
  - Need to coherently generate code (tool use)
  - Need to faithfully execute the experimental plan without hallucinations

### Comparing POPPER with human experts

We recruited 9 >= graduate-level participants to conduct 2 hypothesis testing tasks from TargetVal-IL2, with access to the same databases (18 tasks are randomly assigned)



Once you open the notebook, run the following cell to start the time clock

```
In [1]: import time
        start_time = time.time()
```

#### Instructions

Given a biology hypothesis "Gene MAK16 regulates the production of Interleukin-2 (IL-2).", your task is to validate it using the given raw databases by performing relevant data analysis, formulating statistical tests, and implementing them. The validation should be purely datadriven, not literature-driven. For statistical test, use significance level of alpha=0.1.

Output (1) If the hypothesis is valid or not given the data (2) relevant statistics (e.g. p-value, etc)

#### IMPORTANT

database = {}

- You must only use the database folder in the current task folder to perform the analysis. DO NOT use the data from the other task or any external data.
- You must NOT use LLMs or internet about the direct answer to the biological hypothesis.
- You can use internet/LLMs if you are not sure about the code syntax or library usage or statistical tests or have biological questions in general.
- You can use any python library to perform the analysis.
- The tasks are randomly sampled and may be one true & one false / all true / all false

```
Here are the list of available data sources with columns and example rows:
        df_gtex_tissue_gene_tpm:
        {'Description': 'ENSG00000186092', 'Tissue': 'Adipose - Subcutaneous',
        'Expression': 0.0453961, 'Gene': 'OR4F5'}
        df_gene_info:
        {'gene_id': 'ENSG00000228037', 'transcript_id': 'ENST00000424215', 'chr': '1',
        'gene_start': 2581560, 'gene_end': 2584533, 'strand': 1, 'transcript_start':
        2581560, 'transcript_end': 2584533, 'tss': 2581560, 'transcript_is_canonical':
        1.0, 'gene_name': nan, 'percentage_gene_gc_content': 51.11, 'gene_type':
        'lncRNA'}
        df_genetic_interaction:
        {'interaction_id': 206363, 'gene_a_id': 'YCR011C', 'gene_b_id': 'YCL025C',
        'experimental_system_type': 'genetic', 'pubmed_id': 'PUBMED:16269340',
        'organism_id_a': 559292, 'organism_id_b': 559292, 'throughput_type': 'High
        Throughput', 'experimental_score': '-5.6431'}
        # some dataframes are omitted for presentation purposes
In [3]: ## loading the datasets
        import pandas as pd
        import glob
```

### Comparing POPPER with human experts



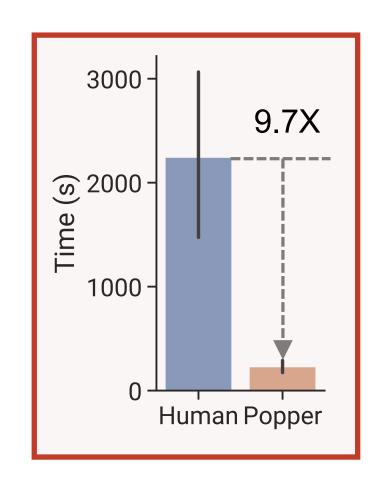
Falsification experiment types
Popper Human

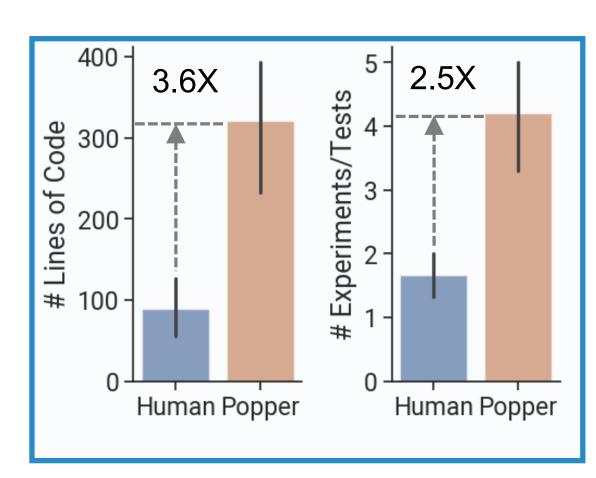
2 3
Genetic association Correlation enrichment
Variant overlap

Expression Correlation Network
eQTL
LoF test

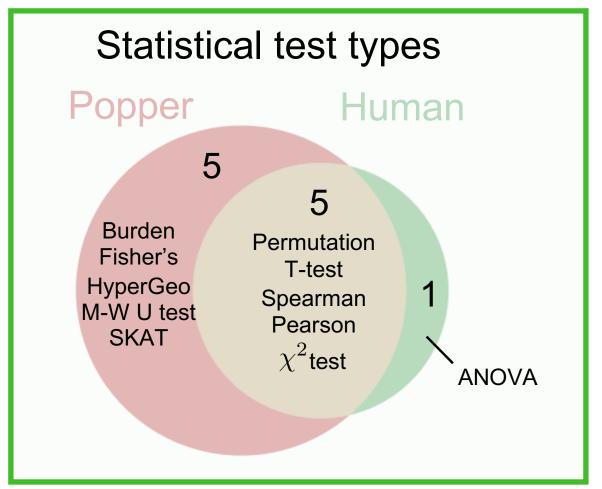
Overlap in the type of tests conducted, POPPER slightly more diverse

POPPER attains similar accuracy while greatly reducing the time



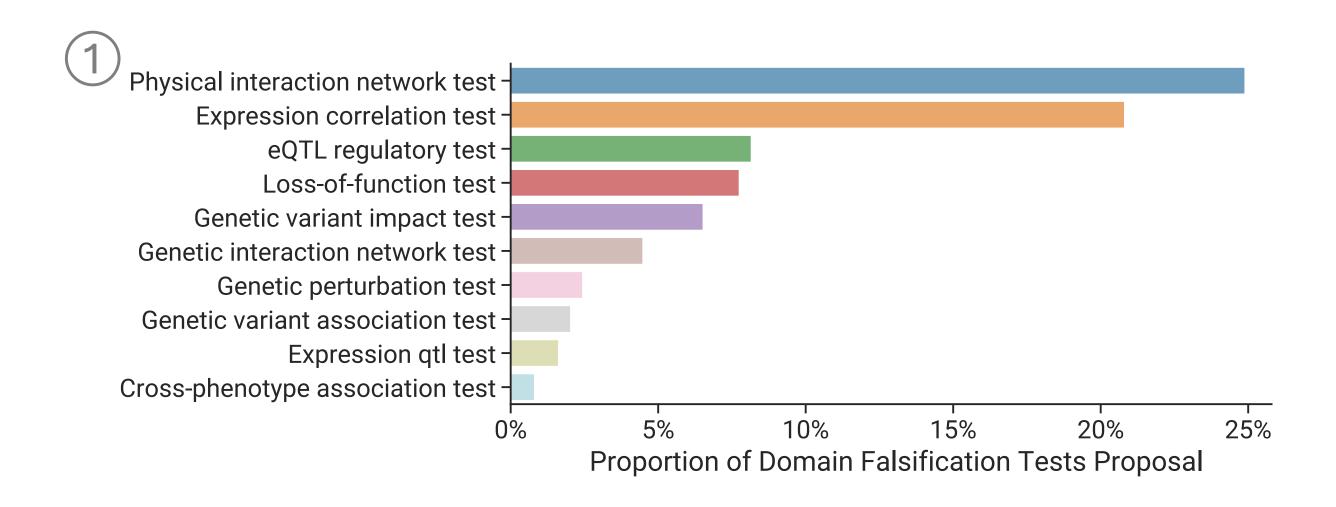




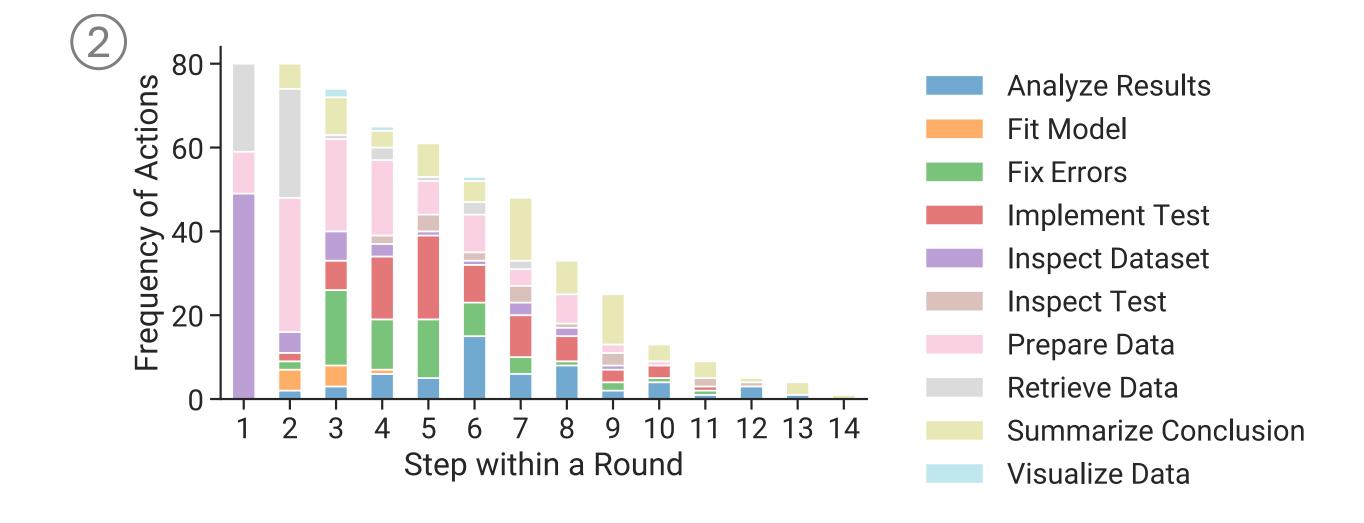


POPPER conducts more diverse tests than human scientists

# Trajectory analysis

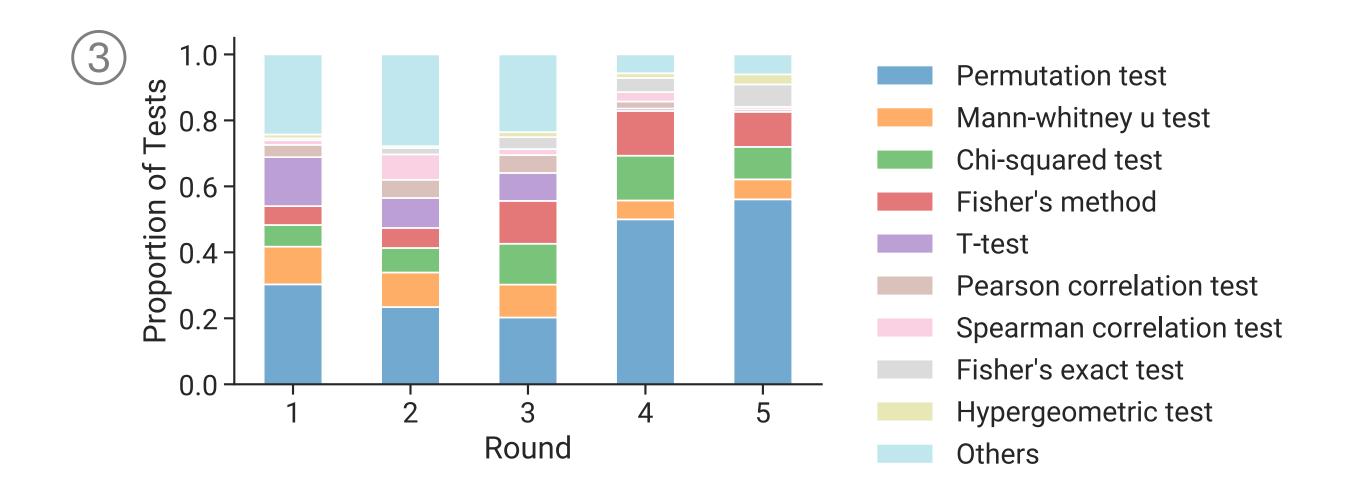


Types of tests conducted



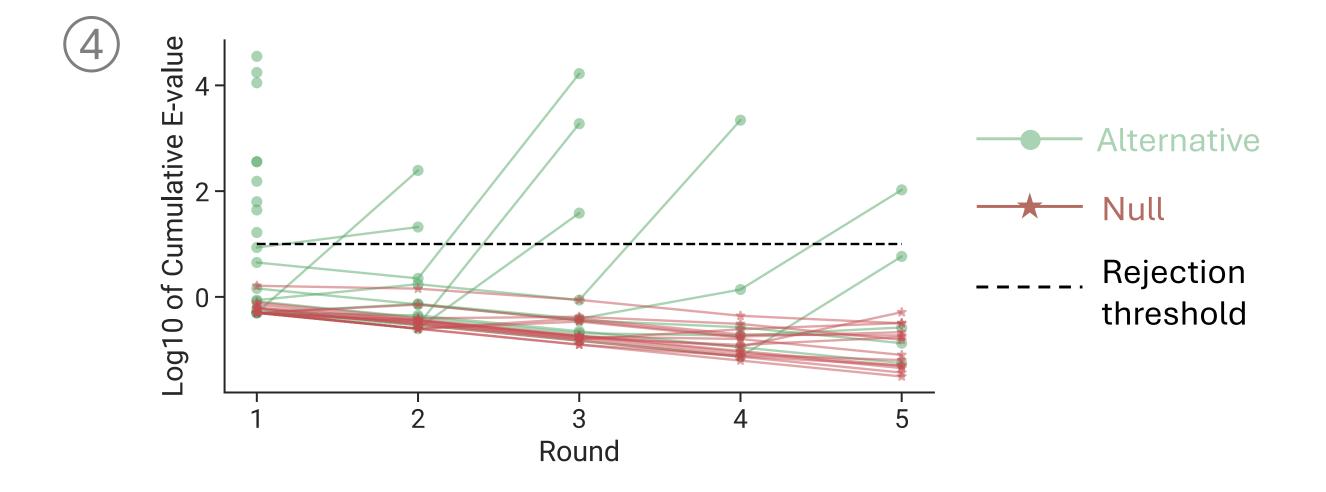
Actions taken in various steps in each round of falsification

### Trajectory analysis



### Specific statistical tests used in each round

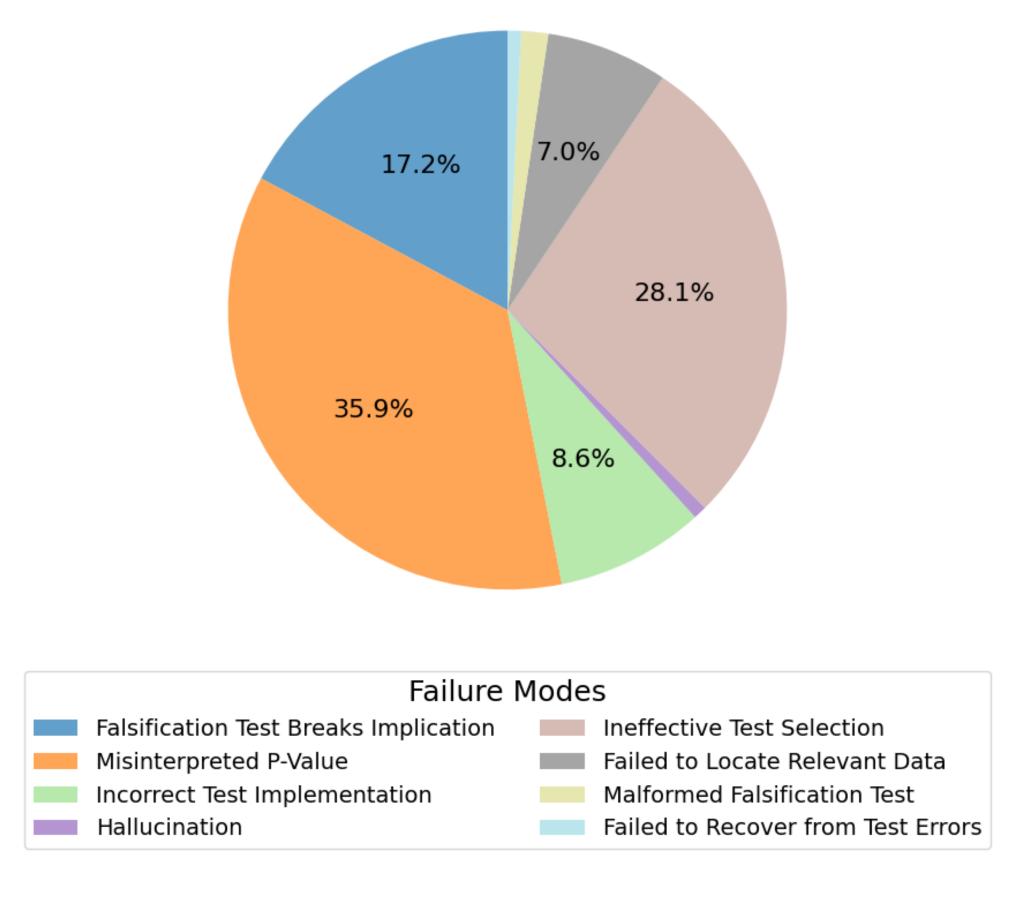
POPPER prefers nonparametric tests



POPPER accumulates evidence for true hypotheses while maintaining validity for null hypotheses

Can be conservative due to the use of e-values

# When does POPPER fail to execute an experiment?



### Summary

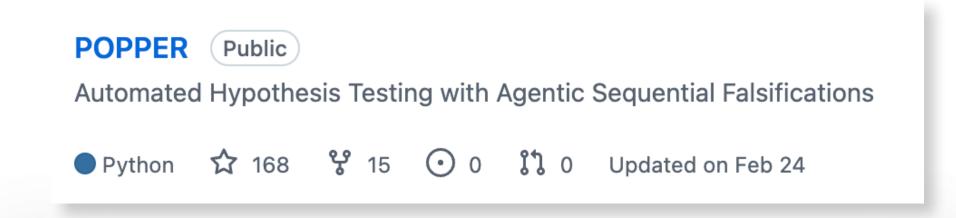
- POPPER is an agentic framework to validate a free-form hypothesis
  - Convert the main hypothesis to various implied, testable sub-hypotheses
  - Accumulate evidence from rounds of experiments to falsify the main hypothesis
  - E-value and sequential safe testing allows adaptive continuation of the process
- Unleashing the power of LLMs while maintaining statistical rigor
  - A "minimal" statistical framework to regulate scientific discovery by LLM agents
  - Safely exploit the encoded knowledge and reasoning abilities of LLM agents
- Limitations and future works
  - Relies on strong reasoning capabilities: errors are hard to detect & avoid due to randomness in generative Al
  - Need several tricks to make sure assumptions are satisfied (relevance checker, self reflection, etc.)
  - Other guarantees beyond type-I error (ongoing POPPER-v2)

# Thank you!

Huang, K., Jin, Y., Li, R., Li, M. Y., Candès, E., & Leskovec, J. (2025). Automated Hypothesis Validation with Agentic Sequential Falsifications. arXiv preprint arXiv:2502.09858.







```
from popper import Popper

agent = Popper(llm="claude-3-5-sonnet-20240620")
agent.register_data(data_path='path/to/data', loader_type='bio')
agent.configure(alpha=0.1)
results = agent.validate(hypothesis="Your hypothesis here")
```